

FORM PTO-1390  
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1688

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/009710**

INTERNATIONAL APPLICATION NO.  
PCT/US00/14615

INTERNATIONAL FILING DATE  
26 May 2000

PRIORITY DATE CLAIMED  
28 May 1999

TITLE OF INVENTION  
ELECTROWINNING CELL INCORPORATING METAL ION FILTRATION APPARATUS

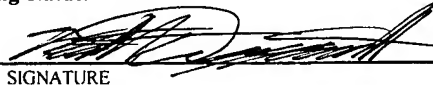
APPLICANT(S) FOR DO/EO/US  
Juzer Jangbarwala

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
Power of Attorney  
Itemized Postcard


U.S. APPLICATION NO. (if known) <b>10/009710</b>			INTERNATIONAL APPLICATION NO. PCT/US00/14615		ATTORNEY'S DOCKET NUMBER 1688	
<b>21. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					<b>CALCULATIONS PTO USE ONLY</b>          <div style="display: flex; justify-content: space-between;"> <span>\$ 100.00</span> <span></span> </div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					<div style="display: flex; justify-content: space-between;"> <span>\$</span> <span></span> </div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	34 - 20 =	14	x \$18.00	\$ 252.00		
Independent claims	4 - 3 =	1	x \$84.00	\$ 84.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 436.00		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$ 218.00	
<b>SUBTOTAL =</b>				\$ 218.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					<div style="display: flex; justify-content: space-between;"> <span>\$</span> <span></span> </div>	
<b>TOTAL NATIONAL FEE =</b>				\$ 218.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
<b>TOTAL FEES ENCLOSED =</b>				\$ 218.00		
				Amount to be refunded:	\$	
				charged:	\$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>218.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.          A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any          overpayment to Deposit Account No. <u>13-1940</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card          information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>						
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR          1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>						
<p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>Timothy J. Martin, P.C.            9250 West 5th Avenue, Suite 200            Lakewood, Colorado 80226            (303) 232-3388</p> </div> <div style="width: 35%; text-align: center;">             SIGNATURE             Mark H. Weygandt            NAME   <u>43,260</u>            REGISTRATION NUMBER         </div> </div>						

10009710-12301

10/009710  
531 Rec'd PCT/FTL 28 NOV 2001

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10**

I hereby certify that the foregoing **TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DE/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (2 PAGES)**, including the attached **CHECK NO. 17324 FOR \$218.00, DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (2 PAGES), PRELIMINARY AMENDMENT (3 PAGES), POWER OF ATTORNEY (1 PAGE), COPY OF THE PUBLISHED INTERNATIONAL APPLICATION (19 DOUBLE-SIDED PAGES), AND TRANSMITTAL OF INFORMATION DISCLOSURE (2 PAGES)**, including **FORM PTO/SB/08** and **REFERENCES** is being deposited with the United States Postal Service as Express Mail, Label No. ET 203174902 US addressed to Box PCT, Assistant Commissioner for Patents, Washington, DC 20231 on this 28<sup>th</sup> day of November, 2001.



Mark H. Weygandt